

1743
PATENTCase Docket No. CEMINES.002A
Date: December 4, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s) : Kaia Palm
Appl. No. : 09/992,665
Filed : November 13, 2001
For : PROFILING TUMOR
SPECIFIC MARKERS FOR
THE DIAGNOSIS AND
TREATMENT OF
NEOPLASTIC DISEASE
Examiner : Unknown
Group Art Unit : 1743

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December 4, 2002

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James J. Mullen III, Ph.D., Reg. No. 44,957

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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seventeen (17) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James J. Mullen III, Ph.D.
Registration No. 44,957
Attorney of Record
Customer No. 20,995
(619) 235-8550

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INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
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Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Appl. No. : 09/992,665
Filed : November 13, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4 DEC 2002

By: 

James J. Mullen III, Ph.D.
Registration No. 44,957
Attorney of Record
Customer No. 20,995
(619) 235-8550

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
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CEMINES.002AAPPLICATION NO.
09/992,665INFORMATION DISCLOSURE STATEMENT
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APPLICANT
Kaia PalmFILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1	WO 99 07725 A	02/1999	WIPO				
	2	WO 99 44062 A	09/1999	WIPO				

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	3	Annex to Form PCT/ISA/206 re PCT/US01/43461, Date of Mailing: November 7, 2002.
	4	Martin K. J. et al., "Linking Gene Expression Patterns to Therapeutic Groups in Breast Cancer", <u>Cancer Research</u> , <u>American Association for Cancer Research</u> , Vol. 60, No. 8, pp. 2232-2238, April 15, 2000.
	5	Alizadeh A. A. et al., "Distinct Types of Diffuse Large B-Cell Lymphoma Identified by Gene Expression Profiling", <u>Nature</u> , Macmillan Journals Ltd., Vol. 403, pp. 503-512, Feb. 3, 2000.
	6	Frohme M. et al., "Use of Representational Difference Analysis and CDNA Arrays for Transcriptional Profiling of Tumor Tissue:", <u>Annals of the New York Academy of Sciences</u> , New York Academy of Sciences, Vol. 910, pp. 85-105, 2000.
	7	Anbazhagan R. et al., "Classification of Small Cell Lung Cancer and Pulmonary Carcinoid Bygene Expression Profiles", <u>Cancer Research</u> , <u>American Association for Cancer Research</u> , Vol. 59, pp. 5119-5122, Oct. 15, 1999.
	8	Lueking A., et al., "Protein Microarrays for Gene Expression and Antibody Screening", <u>Analytical Biochemistry</u> , Vol. 270, No. 1, pp. 103-111, May, 1999.
	9	Gure A. O., et al., "Serological Identification of Embryonic Neural Proteins as Highly Immunogenic Tumor Antigens in Small Cell Lung Cancer", <u>Proceedings of the National Academy of Sciences of USA</u> , Nat'l. Academy of Science, Vol. 97, No. 8, pp. 4198-4203, April 11, 2000.
	10	McCormick, Mary B. et al., "NeuroD2 and NeuroD3: Distinct Expression Patterns and Transcriptional Activation Potentials within the NeuroD Gene Family.", <u>Molecular and Cellular Biology</u> , Vol. 16, No. 10, pp. 5792-5800.
	11	Masai I et al., "Midline Signals Regulate Retinal Neurogenesis in Zebrafish", <u>Neuron</u> , US, Vol. 27, No. 2, pp. 251-263, August 2000.
	12	Brown, Nadean L. et al., "Math5 is Required for Retinal Ganglion Cell and Optic Nerve Formation", <u>Development</u> , Vol. 128, No. 13, pp. 2497-2508, July 2001.
	13	Database Medline (Online), Hermanson O., et al., "Expression of LMO-4 in the Central Nervous System of the Embryonic and Adult Mouse.", Database Accession No. NLM10512198 XP002215211, Abstract, (July 1999), and <u>Cellular and Molecular Biology</u> , Vol. 45, No. 5, pp. 677-686, July 1999.

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449

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	14 Colombo M. P. et al., "Cytokine Gene Transfer in Tumor Inhibition and Tumor Therapy: Where Are We Now?", <u>Immunology Today</u> , Elsevier Publications, Vol. 2, No. 15, pp. 48-51, 1994.
	15 Kononen et al., "Tissue Microarrays for High-Throughput Molecular Profiling of Tumour Specimens", <u>Nature Medicine</u> , Vol. 4, No. 7, pp. 844-847, July 1998.
	16 Cox P. M. et al., "Transcription and Cancer", <u>British Journal of Cancer</u> , Vol. 63, No. 5, pp. 651-662.
	17 Lee, J. E., "Basic Helix-Loop--Helix Genes in Neural Development", <u>Current Opinion in Neurobiology</u> , Vol. 7, No. 1, pp. 13-20, February 1997.

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